

# Investigation Report

Case #	JPI201xxxxxx	Client	XXXX
Investigator	Japan PI Inc.	Subject	XXXX
Objective	Background Investigation	Report Date	XXXXX

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## Provided Information

Name:	John Doe 山田 太郎
DOB:	xxxx xx, 19xx
Born In:	xxxxxxx
Home Address	xxxxxxxxxxxxx
Education:	xxxxxx University of XXXX (Japan)
	xxxxxx University of XXXXX (USA)
Employment:	xxxxxx
	xxxxxx
	xxxxxx
	xxxxxx
	xxxxxx
License	Accountant in Japan

## Executive Summary

In conclusion, no significant issues were confirmed with the subject when hiring. However, he could cause disharmony in the teamwork. The client should be careful in giving a suitable position for him.

The subject does not disclose his personal information online. His SNS accounts confirmed his strong ties with investors in multiple Asian countries. He also has networks of Japanese entrepreneurs in the international markets and global players in a wide variety of countries.

He is a hardworking specialist in accounting and securities. But he is not an entrepreneur himself nor an investor. He is familiar with the laws and business customs of Japan,

Singapore, and Taiwan. He is a sophisticated professional with methodical work habits caring details. Therefore, he has excellent risk management ability. However, on the other hand, his tendency to be obsessed with details could be disadvantageous when it comes to startups or challenging phases of business. One of his colleagues stated some new projects delayed because he was caught up with trivial matters and lost sight of the big picture.

His father seemed to be a bit grumpy according to some neighbors of their family home. His father seems to be poor at socializing with neighbors. However, there are no negative rumors of his family including the connections with antisocial groups, political risks, and/or criminal activities. Also, there is no negative comment on the subject himself.

## Investigation Results

### Educational Checks

School	Result
XXXX University of XXX	An interview with Ms.xxx of the Admission of the University of Tokyo was established in XXXX. His graduation was verified based on this interview.
XXXX UNIVERSITY OF XXXX	A direct inquiry to the educational department of the school was made and the following records were verified. Name: John Doe Acquired Date: XXX Typ of Doctrine: BACHELOR OF SCIENCE IN AGRICULTURE School: UNIVERSITY OF NEBRASKA-LINCOLN Achievements: Excellent Term: XXx - XXX

### Employment Checks

XXX - XXXX XXXXXX Pte. Ltd. (CEO)	Verified with the company registry records of Singapore.
XXX - XXXX Xxxxxxxx Capital	Verified with the telephone interview with Ms. XXXX.
XXX - XXX XXXXXX Securities	Verified with the telephone interview with Me. XXXX.

# Professional License/Certificate

Sources checked:

Financial Services Agency 金融庁 <https://www.fsa.go.jp/>

Ministry of Health and Labor 厚生労働省 <https://www.mhlw.go.jp/index.html>

Ministry of Land, Transportation, and Infrastructure 国土交通省 <http://www.mlit.go.jp/>

Various administrative governments 各種行政機関

ISMS 日本情報経済社会推進協会 <https://isms.jp/index.html>

License	Result
XXXX Japan Securities Analyst	His name was confirmed on the website of Japan Securities Analysts Association <a href="https://www.saa.or.jp/apps/list/ko.htm">https://www.saa.or.jp/apps/list/ko.htm</a>

## Media Search

An intensive online media and database check was conducted using the subject's name, known trade names and derivations of them. Checks were conducted in English and Japanese and identified that the subject has a medium media profile. Most references related to the subject are basic details, but there are negative references regarding the litigation.

A number of relevant/example references have been extracted below:

URL	XXXXXXXXXX
Title	Japanese XXXX Association
Date	XXXXX
Content	He is a member of XXXX Association. This is the community for Japanese entrepreneurs, leaders of an organization. And the association gives training and support for the members.

URL	XXXXX
Title	Xxxxxxx Investment and Consulting
Date	XXXX
Content	He used to be the director of XXX Investment & Consulting This organization aims at the investment for the medical industry of emerging markets.

URL	XXXXXXXXXX
Title	Facebook
Date	N/A
Content	There are photos of his family members and dogs. His hobby is bicycle touring.

URL	XXXXXXXXXX
Title	XXXXXXX Association
Date	XXXXXX
Content	He is the regional board member of the XXXX Association. This association is the community for the alumni of the University of Tokyo living in Singapore.

## Source Interviews

The following source interviews were made with his former colleagues and neighbors of his house.

Date	XXXXXX
Interviewee	Mr. XXXX XXXXXXXXXXXXXXXXXX (Singapore) Phone: XXXXXXX
Relationship	Co-worker
Comment	<p>Mr.xxx is one of the directors of K.K.xxxxx (Singapore based) where the subject also takes the position of director. Mr. Xxx meets the subject at the board meeting held about once every two months.</p> <p>Mr. xxx first met him through the introduction of the common acquaintance ten years ago when the subject left XXXX Capital for a new assignment in Singapore. The subject asked Mr. xxx for advice on business life in Singapore.</p> <p>Mr. XXXX talked about h the first impression of the subject as follows.</p> <p>He was different in career and personality from those who have been involved in the investment-related business. He has a bureaucratic personality. It is rare for such a person to enter an investment-related startup. Mr. Xxx was surprised and felt that he had not known much about the world.</p>

	<p>To be honest, Mr. XXXX felt uncomfortable with him. Mr. XXXX thought that he would never have a relationship with him. However, five years later, he became a coworker with the subject.</p> <p>In terms of work, the subject may be too particular about details and hinder the progress of the project. Mr. xxx respects his careful work. However, he said that under certain circumstances, his details oriented mindset could interfere with the flow of business.</p>
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Date	XXXXXX
Interviewee	<p>XXXXXX</p> <p>XXXXXXXXXXXXXXXXXX</p> <p>Phone: XXXXX</p>
Relationship	Business partner
Comment	<p>Mr. XXX has known him since 2005 when they had a business together.</p> <p>His methodical work style, caring for details will detect the risks in the business dealings. For example, in the recent projects with X and Y, without him, no dealings would have been made without him. He was successful in negotiations with multiple customer companies in complicated situations. He made a deal with new banks, as well.</p> <p>He is also caring for his health. He pays the greatest attention to his foods to maintain good health.</p>

Date	XXXX
Interviewee	<p>XXXXXX</p> <p>XXXXXXXXXXXXXXXXXX</p>
Relationship	The neighbor of his residence home
Comment	<p>They are weird people. They cannot socialize well with neighbors. I'm not comfortable with their presence. They moved in about 40 years ago. At that time, Mr. and Mrs. XXXX were young couples with a boy and a girl. Please note they never came for a greeting to</p> <p>"We see Mr. and Mrs. XXXX leaving the house in the afternoon every day recently. But they have never greeted me for decades," Mr. XXX quoted.</p> <p>There was a neighbor who moved out from here more than 10 years ago because they hated the arrogant attitude of XXXX's family. They still own the house but the house has been empty since.</p> <p>A rumor has it that their daughter (the sister of the subject) got married to</p>

	<p>a wheelchair ridden male while she was working as a caregiver for a care center for the disabled. But she got divorced recently and came back to her parents' house.</p> <p>When the subject was a student Mrs. XXXX knew he worked for XXXX securities after the graduation of his university. However, that's the only information Mrs. XXX knows about the subject. Due to the lack of social communication of the XXX family, not much information was shared with the neighbors.</p>
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Date	XXXX
Interviewee	XXXXX XXXXXXXXXXXX
Relationship	The neighbor of his residence home
Comment	<p>XXX was reluctant to talk about XXX family at first. After some moment of hesitation, she started to talk.</p> <p>There was a day when heavy snow fell in the past. At that time, XXX scraped the removed the snow in front of XXX's house. XXX's family had difficulty getting in and out of the house. So XXX asked not to leave removed snow in front of XXX's house. But XXX did not listen to xxx's request. And they did not even apologize to XXX. Since then, XXX has never spoken with XXX</p>

## Site Visit Investigation

A discreet site visit was undertaken at the company's address, as below, to determine whether the company had a physical presence at the address:

Address

XXXXXXX

XXXXXXXXXXXXXX

### Brief Description

The address is situated about five minutes' walk away from XXX station. It is in the serene residential area. We confirmed the nameplate of the subject's surname at the gate of the house.

### The nature of the area at the address

☐ Industrial ☐ Commercial ☒ Residential

### The nature of the building

☒ House ☐ Apartment ☐ Office Building

### The house or unit exists at this address.

☒ Yes ☐ No

### Is there a nameplate of the subject's name or other names at the site?

☒ Yes ☐ No

Name(s): XXXXXX

**If no nameplate and no evidence of the presence of the subject at the site, were interviews with neighbors or management agencies able to confirm the residence of the subject at this location?**

☐ Yes ☒ No

Sources:

**The address appears to be a Post Office or postal mailbox business or service**

☐ Yes ☒ No

**The address appears to be a company.**

☐ Yes ☒ No

**The address appears to be the registered address only (no residency at this site, however, this address appears in registration information, records, and directories.**

☐ Yes ☒ No

**Residents at the location?**

☒ Yes ☐ No

**Vehicles at the location?**

☐ Yes ☒ No

**Laundries**

☒ Yes ☐ No

**Utility meters**

☒ Working ☐ Not Working ☐ Unable to Confirm

**Letters**

We could not confirm a letter in the mailbox.

▼XXXXX



## Litigation Records

A check using the subject's name was conducted with court records in Japan. The check was conducted with the Tokyo Supreme Court, National Gazette, Cross Paper Search, and open media sources. The records date back over ten years but are not comprehensive because Japanese courts never let anyone search the litigation records in the database except the supreme court cases.

Supreme Court Case Search

[http://www.courts.go.jp/app/hanrei\\_jp/search1](http://www.courts.go.jp/app/hanrei_jp/search1)

Patent Precedents Database

<http://tokkyo.hanrei.jp/>

National Gazette Search

<https://search.npb.go.jp/kanpou/>

Cross Paper Search

<https://business.nifty.com/gsh/RXCN/>

The following cases naming the subject were identified.

Court	Tokyo District Court
Case Number	平成20年ねxxxxxxxxxxxx
Date	xxxxxxx
Plaintiff(s)	xxxxxxx
Defendant(s)	xxxxxxx
Cause	The subject was sued because of the infringement of a patent.
Amount	JPYxxxxxxx
Judgment Date	xxxxxxx
Judgment Details	Tokyo district court ruled in favor of the plaintiff. The subject was ordered to pay JPYxxxx as compensation.

## Bankruptcy / Negative Credit Records

A check for outstanding credit judgments and bankruptcy cases was conducted with the Supreme Court of Japan, National Gazette, and media sources. It is noted that bankruptcy records of National Gazette are not the perfect search result because the records only include the name in Japanese and the address at the time of the bankruptcy,

Supreme Court Case Search

[http://www.courts.go.jp/app/hanrei\\_jp/search1](http://www.courts.go.jp/app/hanrei_jp/search1)

National Gazette Search

<https://search.npb.go.jp/kanpou/>

Cross Paper Search

<https://business.nifty.com/gsh/RXCN/>

No negative financial records against xxx were identified, however, it is noted that most records are private.

## Directorship Search

The subject's name was checked against the proprietary databases of directorship search and open media sources to determine whether the subject is listed as a Director of any companies in Japan. It is noted that these searches are non-exhaustive.

Tokyo Shoko Research

<http://www.tsr-net.co.jp/>

Teikoku Data Bank

<http://www.tdb.co.jp/index.html>

The results identified the subject as the Director of the following companies:

Xxxxxxxxxx (Singapore) xxxxxxxxxxxxxxxxxxxxxxxx	CEO
Xxxxxxxxxx (Japan) xxxxxxxxxxxxxxxxxxxxxxxx	Outside Director
Xxxxxxxxxx (Taiwan) xxxxxxxxxxxxxxxxxxxxxxxx	Director
Xxxxxxxxxx (USA) xxxxxxxxxxxxxxxxxxxxxxxx	Director
Xxxxxxxxxx (USA) xxxxxxxxxxxxxxxxxxxxxxxx	Director

## Criminality Records

A check is conducted with the database of anti-social forces in Japan released by the National Crime Preventions Center. Other checks are made with the compliance databases of LexisNexis and creditsafe.

Japan National Crime Prevention Center

<http://www.bohan.or.jp/>

LexisNexis

<https://risk.lexisnexis.com/products/worldcompliance-data>

creditsafe

<https://www.creditsafe.com/jp/ja.html>

No records were found that match the name of the subject.
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End of Report